

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL	
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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* <u>BLATT LAWRENCE</u> (Last) (First) (Middle) C/O ALIGOS THERAPEUTICS, INC. 1 CORPORATE DRIVE, 2ND FLOOR (Street) SOUTH SAN FRANCISCO CA 94080 (City) (State) (Zip)	2. Issuer Name and Ticker or Trading Symbol <u>Aligos Therapeutics, Inc. [ALGS]</u>	5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner <input checked="" type="checkbox"/> Officer (give title below) Other (specify below) Chief Executive Officer
	3. Date of Earliest Transaction (Month/Day/Year) 10/20/2020	
	4. If Amendment, Date of Original Filed (Month/Day/Year)	

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price			
Common Stock							1,237,298	D		
Common Stock	10/20/2020		C		41,016	A	(1)	41,016	I	See footnote ⁽²⁾
Common Stock	10/20/2020		C		122,601	A	(1)	122,601	I	See footnote ⁽³⁾
Common Stock	10/20/2020		C		13,671	A	(1)	13,671	I	See footnote ⁽⁴⁾
Common Stock	10/20/2020		C		13,671	A	(1)	13,671	I	See footnote ⁽⁵⁾
Common Stock	10/20/2020		C		50,712	A	(1)	91,728	I	See footnote ⁽²⁾
Common Stock	10/20/2020		C		3,394	A	(1)	17,065	I	See footnote ⁽⁴⁾
Common Stock	10/20/2020		C		3,394	A	(1)	17,065	I	See footnote ⁽⁵⁾
Common Stock	10/20/2020		C		21,695	A	(1)	113,423	I	See footnote ⁽²⁾
Common Stock	10/20/2020		C		1,452	A	(1)	18,517	I	See footnote ⁽⁴⁾
Common Stock	10/20/2020		C		1,452	A	(1)	18,517	I	See footnote ⁽⁵⁾

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Series A Preferred Stock	(1)	10/20/2020		C			41,016	(1)	(1)	Common Stock	41,016	\$0.00	0	I	See footnote ⁽²⁾
Series A Preferred Stock	(1)	10/20/2020		C			122,601	(1)	(1)	Common Stock	122,601	\$0.00	0	I	See footnote ⁽³⁾
Series A Preferred Stock	(1)	10/20/2020		C			13,671	(1)	(1)	Common Stock	13,671	\$0.00	0	I	See footnote ⁽⁴⁾
Series A Preferred Stock	(1)	10/20/2020		C			13,671	(1)	(1)	Common Stock	13,671	\$0.00	0	I	See footnote ⁽⁵⁾

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)**

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Series B-1 Preferred Stock	(1)	10/20/2020		C			50,712	(1)	(1)	Common Stock	50,712	\$0.00	0	I	See footnote ⁽²⁾
Series B-1 Preferred Stock	(1)	10/20/2020		C			3,394	(1)	(1)	Common Stock	3,394	\$0.00	0	I	See footnote ⁽⁴⁾
Series B-1 Preferred Stock	(1)	10/20/2020		C			3,394	(1)	(1)	Common Stock	3,394	\$0.00	0	I	See footnote ⁽⁵⁾
Series B-2 Preferred Stock	(1)	10/20/2020		C			21,695	(1)	(1)	Common Stock	21,695	\$0.00	0	I	See footnote ⁽²⁾
Series B-2 Preferred Stock	(1)	10/20/2020		C			1,452	(1)	(1)	Common Stock	1,452	\$0.00	0	I	See footnote ⁽⁴⁾
Series B-2 Preferred Stock	(1)	10/20/2020		C			1,452	(1)	(1)	Common Stock	1,452	\$0.00	0	I	See footnote ⁽⁵⁾

Explanation of Responses:

- Each share of the issuer's Series A Preferred Stock, Series B-1 Preferred Stock and Series B-2 Preferred Stock automatically converted into one (1) share of the issuer's Common Stock immediately upon the closing of the issuer's initial public offering on October 20, 2020 and had no expiration date.
- Lawrence M. Blatt Living Trust dated 8/27/14.
- PENSCO Trust Company LLC Custodian FBO Dr. Lawrence Blatt IRA
- Zachary David Blatt Irrevocable Trust dated 8/24/14.
- Zoe Anne Blatt Irrevocable Trust dated 8/24/14.

/s/ Lucinda Y. Quan, as
attorney-in fact for Lawrence 10/20/2020
M. Blatt

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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